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2611

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application No.	09/823,400
		March 29, 2001
	First Named Inventor	Jay H. Connelly
	Art Unit	2611
	Examiner Name	Christopher Lambrecht
Total Number of Pages in This Submission	Attorney Docket Number	42390P10860

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input checked="" type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Basic Filing Fee  <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">1) Return Post Card 2) Check No.: 2189 for \$180.00 3) Copies of 2 cited references</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	October 10, 2006

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

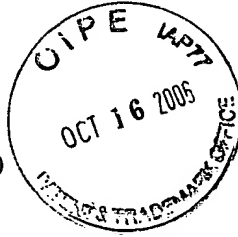
In Re the Application of:

JAY H. CONNELLY

Application No.: 09/823,400

Filed: March 29, 2001

For: **SYSTEM AND METHOD FOR MERGING  
STREAMING AND STORED CONTENT  
INFORMATION IN AN ELECTRONIC  
PROGRAM GUIDE**



Art Group: 2611

Examiner: Christopher Lambrecht

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted after mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

10/16/2006 ZJUHA1 00000054 09823400

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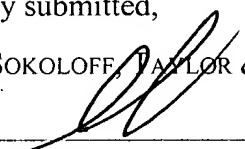
180.00 0P

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,


BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: October 10, 2006

  
\_\_\_\_\_  
Gregory D. Caldwell, Reg. No. 39,926

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\_\_\_\_\_  
Shannon A. Handy

10-10-06

Date



# FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

## Complete if Known

Application Number	09/823,400
Filing Date	March 29, 2001
First Named Inventor	Jay H. Connelly
Examiner Name	Christopher Lambrecht
Art Unit	2611
Attorney Docket No.	42390P10860

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☐ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below

☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments  
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

## FEE CALCULATION

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) \_\_\_\_\_

SUBTOTAL (2) (\$) 180.00

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature		Date	10/10/06		

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet

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of

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**Complete if Known**

Application Number	09/823.400
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Filing Date	March 29, 2001
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First Named Inventor	Jay H. Connelly
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Art Unit	2611
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Examiner Name	Christopher Lambrecht
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Attorney Docket Number	42390P10860
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## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US-4,546,382	10-08-1985	McKenna, et al.	
	2	US-4,566,030	01-21-1986	Nickerson, et al.	
	3	US-4,624,578	11-25-2086	Green	
	4	US-5,227,874	07-13-1993	Von Kohorn	
	5	US-5,388,211	12-07-1995	Hornbuckle	
	6	US-5,530,082	07-25-1996	Friebe, et al.	
	7	US-5,544,161	08-06-1996	Bigham, et al.	
	8	US-5,600,573	02-04-1997	Hendricks, et al.	
	9	US-5,734,890	03-31-1998	Case, et al.	
	10	US-5,740,549	04-14-1998	Reilly, et al.	
	11	US-5,758,257	05-26-1998	Herz, et al.	
	12	US-5,801,747	09-01-1998	Bedard	
	13	US-5,848,396	12-08-1998	Gerace	
	14	US-5,940,073	08-17-1999	Klosterman, et al.	
	15	US-5,974,398	10-26-1999	Hanson, et al.	
	16	US-5,991,735	11-23-1999	Gerace	
	17	US-5,999,908	12-07-1999	Abelow	
	18	US-6,012,051	01-04-2000	Sammon, Jr., et al.	
	19	US-6,018,359	01-25-2000	Kermode, et al.	
	20	US-6,226,618 B1	05-01-2001	Downs, et al.	

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date	
Considered	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

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Examiner Name	Christopher Lambrecht
Attorney Docket Number	42390P10860

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Date Considered

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

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of

5

## Application Number

09/823.400

Filing Date

March 29, 2001

First Named Inventor
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Jay H. Connelly

## Art Unit

2611

Examiner Name

Christopher Lambrecht

Attorney Docket Number

42390P10860

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	23	US-6,271,893	08-07-2001	Kawaguchi, et al.	
	24	US-6,463,585	10-08-2002	Hendricks, et al.	
	25	US-6,513,014	01-28-2003	Walker, et al.	
	26	US-6,625,716 B2	09-23-2003	Fackenthal	
	27	US-6,642,862 B2	11-04-2003	Boudry	
	28	US-6,668,246 B1	12-23-2003	Yeung, et al.	
	29	US-6,782,476 B1	08-24-2004	Ishibashi	
	30	US-6,801,936 B1	10-05-2004	Diwan	
	31	US-6,923,911 B1	08-02-2005	Beier, et al.	
	32	US-7,020,893 B2	03-28-2006	Connelly	
	33	US-7,024,681 B1	04-04-2006	Fransman, et al.	
	34	US-7,028,071 B1	04-11-2006	Slik	
	35	US-7,047,456 B2	05-16-2006	Jutzi	
	36	US-7,055,165 B2	05-30-2006	Connelly	
	37	US-09/532,034	03-22-2000	Connelly	
	38	US-09/533,024	03-22-2000	Connelly	
	39	US-09/533,048	03-22-2000	Connelly	
	40	US-09/717,579	11-21-2000	Jutzi	
	41	US-2002/0073245 A1	06-13-2002	Hallford	
	42	US-2002/0143591 A1	10-03-2002	Connelly	

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**Examiner  
Signature**

Date \_\_\_\_\_

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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